

## SECTION .1300 - REGISTRATION OF SECURITIES

### 18 NCAC 06A .1301 REGISTRATION BY NOTIFICATION

Application for registration by notification shall be filed with the administrator using the Uniform Application to Register Securities (Form U-1). Supplemental information demonstrating eligibility for registration under this provision and the documents and information called for by G.S. 78A-25(b) shall be attached.

*History Note:* Authority G.S. 78A-25; 78A-49(a);  
Eff. April 1, 1981;  
Amended Eff. October 1, 1988;  
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December 6, 2016.